

Duty to Warn

Question

If a patient confides to a healthcare provider that they want to harm someone, and the threat seems credible, what should the provider do?

Answer

The concept of "duty to warn" or "duty to protect" refers to healthcare providers' responsibility to disclose information about a patient who is potentially violent or dangerous. HIPAA supports "duty to warn" by permitting disclosure of certain limited information based on a reasonable belief that use or disclosure of the protected health information (PHI) is necessary to prevent or lessen a serious and imminent threat to the health or safety of an individual or the public, if disclosure is consistent with state law.¹

In such situations, consider the following four-step algorithm:

- 1. Do you believe the individual poses a serious and imminent threat to the health or safety of a person or the public and is able to carry out the threat?
- 2. Are you basing this good faith belief on reliable information?
- 3. Do you believe that disclosing this threat (to the victim or law enforcement) will reasonably prevent or lessen the threat of harm?
- 4. If the answers to the above questions are "yes," warn the victim and/or law enforcement and document this four-step algorithm in the patient's health record.

Your state's health regulations also might include a provision for involuntarily admitting the patient to a behavioral health facility.

Risk Q&A: Duty to Warn

Finally, it is important to note that changes have been proposed in relation to the HIPAA Privacy Rule. In part, the U.S. Department of Health and Human Services (HHS) is proposing to increase flexibility in relation to disclosing PHI to family, friends, and caregivers for the purposes of avoiding harm.

HHS acknowledges that determining with certainty whether a threat is "serious and imminent" — the current HIPAA language — may be impossible; thus, the Proposed Rule would permit disclosure of PHI when the threat to health and safety is "serious and reasonably foreseeable." The proposed change would include a definition of "reasonably foreseeable" to help guide decision-making about disclosure.²

Whether and when these updates will occur is not known. HHS published the Notice of Proposed Rulemaking (NPRM) in January 2021. A public comment period on the NPRM followed, which concluded May 6, 2021.

Resources

- AMA Journal of Ethics: How Should Physicians Make Decisions about Mandatory Reporting When a Patient Might Become Violent?
- American Psychological Association: A Matter of Law: Psychologists' Duty to Protect
- American Psychological Association: Disclosing Confidential Information
- Centers for Disease Control and Prevention: Duty to Warn for Health Care Settings
- Journal of the American Academy of Psychiatry and the Law: Warning a Potential Victim of a Person's Dangerousness: Clinician's Duty or Victim's Right?
- National Conference on State Legislatures: Mental Health Professionals' Duty to Warn
- Society for the Advancement of Psychotherapy: Confidentiality and its Exceptions: The Case of Duty to Warn
- StatPearls: Duty to Warn
- The New Social Worker: Duty to Warn, Duty to Protect
- U.S. Department of Health and Human Services: HIPAA Privacy Rule and Sharing Information
 Related to Mental Health

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Endnotes

This document does not constitute legal or medical advice and should not be construed as rules or establishing a standard of care. Because the facts applicable to your situation may vary, or the laws applicable in your jurisdiction may differ, please contact your attorney or other professional advisors if you have any questions related to your legal or medical obligations or rights, state or federal laws, contract interpretation, or other legal questions.

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¹ HIPAA Privacy and Security Rule, 45 C.F.R. § 164.512.

² Adler, S. (2025, January 8). HIPAA updates and HIPAA changes in 2025. *HIPAA Journal*. Retrieve from www.hipaajournal.com/hipaa-updates-hipaa-changes/; Proposed Modifications to the HIPAA Privacy Rule To Support, and Remove Barriers to, Coordinated Care and Individual Engagement, 86 Fed. Reg. 6446 (Jan. 21, 2021) (to be codified at 45 CFR pts. 160 & 164).