





Introduction

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This publication begins with insight into frequency and financial severity profiles by specialty. Then follows an analysis of aggregated data from clinically coded cases opened between 2014-2023 in which Neurology is identified as the primary responsible service.

Keep in mind...

A clinically coded malpractice case can have more than one responsible service, but the "primary responsible service" is the specialty that is deemed to be most responsible for the resulting patient outcome.

Our data system, and analysis, rolls all claims/suits related to an individual patient event into one case for coding purposes. Therefore, a case may be made up of one or more individual claims/suits and multiple defendant types such as hospital, physician, and other healthcare professionals.

Cases that involve attorney representations at depositions, State Board actions, and general liability cases are not included.

This analysis is designed to provide insured doctors, healthcare professionals, hospitals, health systems, and associated risk management staff with detailed case data to assist them in purposefully focusing their risk management and patient safety efforts.

Specialty benchmarking

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Specialties have different frequency and financial severity profiles which combine to produce differing risk levels.

	High	Hematology/Oncology, Pathology, Pediatrics	Anesthesiology, Neurology	Emergency Medicine, Neurosurgery, OB/GYN	
Severity Tier	Medium	Family Medicine, Nephrology, Physiatry, Urgent Care	Cardiology, ENT, Gastroenterology, Internal Medicine	Cardiovascular Surgery, General Surgery, Orthopedic Surgery, Radiology, Urology	
	Low	Allergy, Dermatology, Occupational Medicine, Psychiatry, Rheumatology	Ophthalmology, Plastic Surgery, Pulmonology	Hospitalists	
		Low	Medium	High	
		Frequency Tier			

Specialty trends – Neurology

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Neurology has a higher financial severity per case and an average claim frequency compared to all specialties.



Average Severity - Neurology Relative to All Specialties

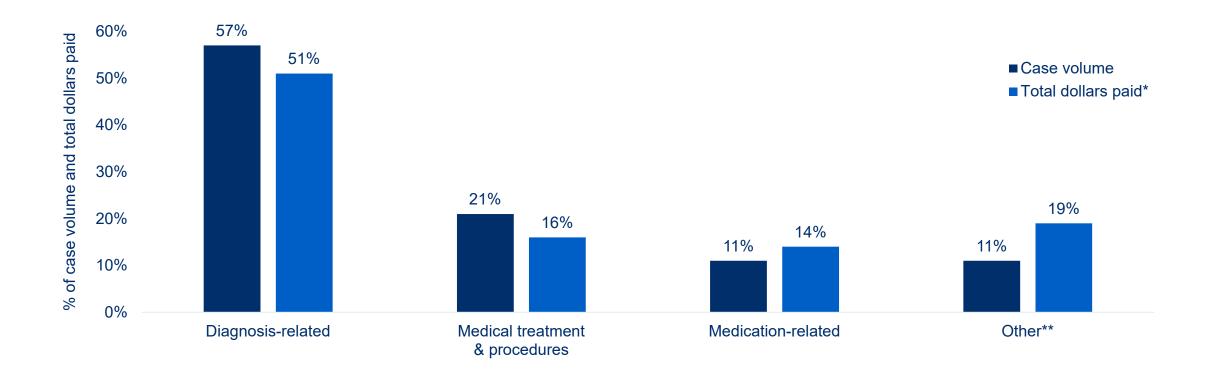
Key Points - Clinically Coded Data

- Diagnosis-related allegations account for over half (57%) of Neurology case volume, and 51% of total dollars paid*. These most commonly reflect missed/delayed diagnoses of cerebrovascular disease, nervous system disorders and both benign and malignant neoplasms. These cases commonly reflect breaks all along the diagnostic process of care continuum, but most often during the initial diagnostic process phase of patient assessments, establishment of differential diagnoses and ordering of diagnostic testing.
- Medical treatment allegations, accounting for 21% of Neurology case volume, are reflective most often of issues arising during management of a course of treatment. Procedural performance cases can be the result of poor procedural technique, and impacted by delayed recognition of complications, while management cases most often reflect issues with selection of the most appropriate course of treatment for the patient, and appreciating and reconciling symptoms and test results.
- Medication-related cases most commonly involve management of anticonvulsant medication regimens. Problems with selection of the most appropriate
 medication regimen, monitoring/assessing the patient while on that regimen, and sub-optimal communication among providers about medication regimens and
 evolving signs/symptoms are the most common contributing factors to these cases.
- Contributing factors, which are multi-layered issues or failures in the process of care that appear to have contributed to the patient's outcome, and/or to the
 initiation of the case, provide valuable insight into risk mitigation opportunities. Clinical judgment factors related to diagnostic decision-making and inadequate
 patient care team communication are key drivers of clinical Neurology case severity.

Major Allegations & Financial Severity

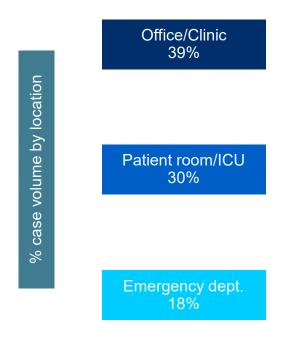
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Each case reflects one major allegation category. Categories are designed to enable the grouping and analysis of similar cases and to drive focused risk mitigation efforts. The coding taxonomy includes detailed allegation sub-categories; insight into these is noted later in this report.



Clinical Severity* & Most Common Locations

Clinical severity* categories	Sub-categories	% of case volume	Definitions	
LOW	Emotional Injury Only	6%	Mental distress or suffering that is generally temporary; includes HIPAA violations, discrimination, involuntary stay	
	Temporary Insignificant Injury		Lacerations, contusions, minor scars, rash; no delay in recovery	
	Temporary Minor Injury		Infection, fracture set improperly or a fall in the facility, where recovery is complete but delayed	
MEDIUM	Temporary Major Injury 23%		Burns, drug side effect; recovery delayed	
	Permanent Minor Injury		Loss of fingers or loss or damage to organs; includes non-disabling injuries	
	Significant Permanent Injury		Deafness, loss of limb, loss of eye or loss of one kidney or lung	
HIGH	Major Permanent Injury	71%	Paraplegia, blindness, loss of two limbs or brain damage	
пібп	Grave Injury	/ 1 %	Quadriplegia, severe brain damage, life-long care or fatal prognosis	
	Death		Death	
		18%	% of cases resulting in patient death	



Contributing Factors

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Despite best intentions, processes designed for safe patient outcomes can, and do, fail.

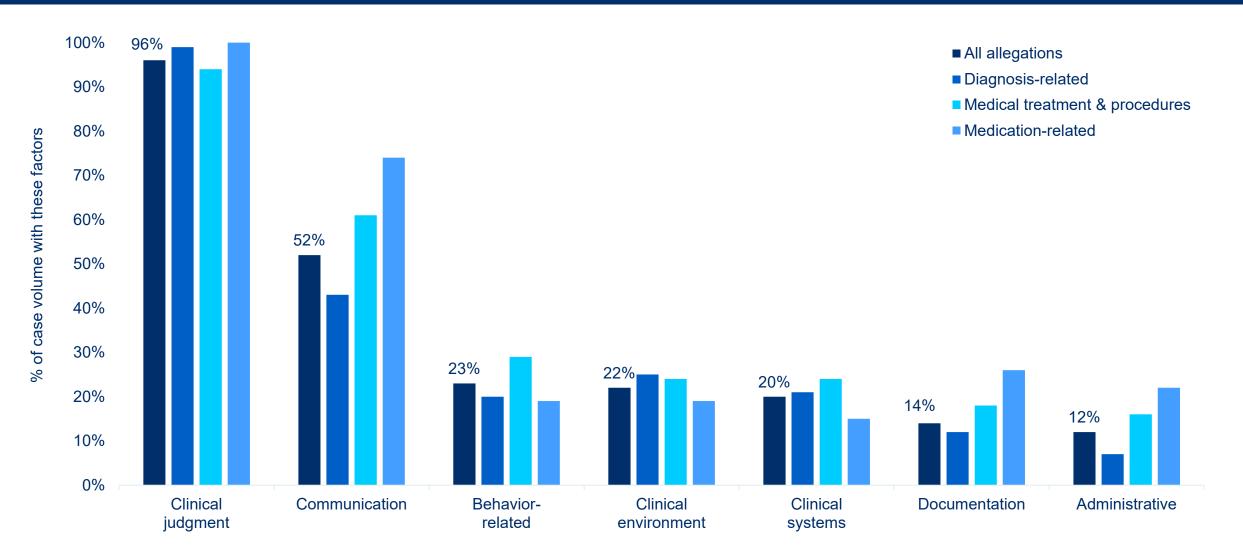
Contributing factors are multi-layered issues or failures in the process of care that appear to have contributed to the patient's outcome, and/or to the initiation of the case, or had a significant impact on case resolution. Multiple factors are identified in each case because generally, there is not just one issue that leads to these cases, but rather a combination of issues.

Administrative	Behavior-related	Clinical environment	Clinical judgment	Clinical systems	Communication	Documentation	Supervision	Technical skill

Contributing Factor Category Definitions

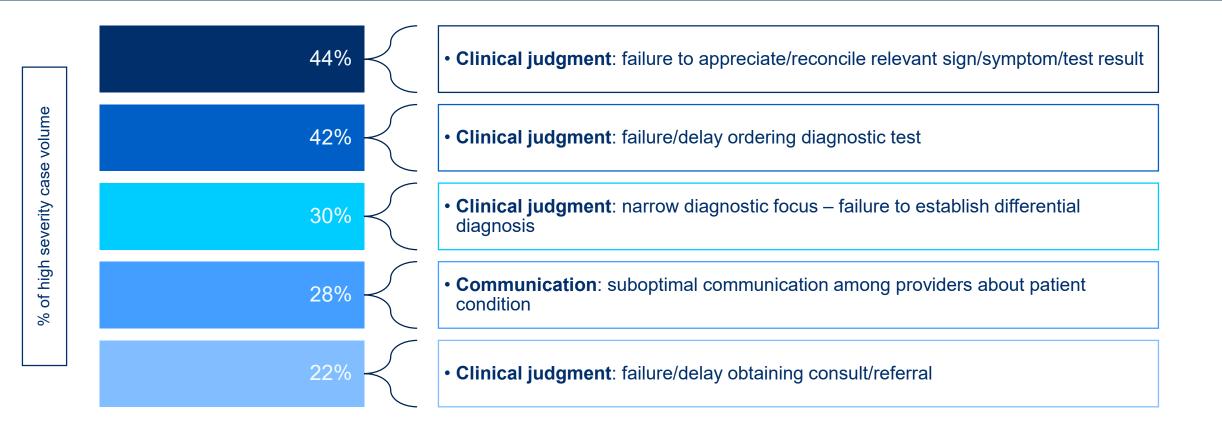
Administrative	Factors related to reporting of adverse events, adequacy of staffing, staff education/training, ethics, failure to follow and/or need for policy/protocols
Behavior-related	Factors related to patient nonadherence to treatment or behavior that offsets care; also, provider behavior including breach of confidentiality or sexual misconduct
Clinical environment Factors related to workflow, physical conditions and "off-hours" conditions (weekends/holidays/nights)	
Clinical judgment	Factors related to patient assessment, diagnostic decision-making, selection and management of therapy, patient monitoring, failure/delay in obtaining a consult, failure to ensure patient safety (falls, burns, etc.), choice of practice setting, failure to question/follow an order, practice beyond scope
Clinical systems	Factors related to coordination of care, failure/delay in ordering test, reporting findings, follow-up systems, patient identification, specimen handling, nosocomial infections
Communication Factors related to communication among providers, between patient/family and providers, via communication (texting, email, etc.), and telehealth/tele-radiology	
Documentation	Factors related to mechanics, insufficiency, content
Supervision	Factors related to supervision of nursing, house staff, advanced practice clinicians
Technical skill	Factors related to improper use of equipment, medication errors, retained foreign bodies, technical performance of procedures

Most Common Contributing Factor Categories by Allegation



Focus on Most Common Drivers of Clinical Severity

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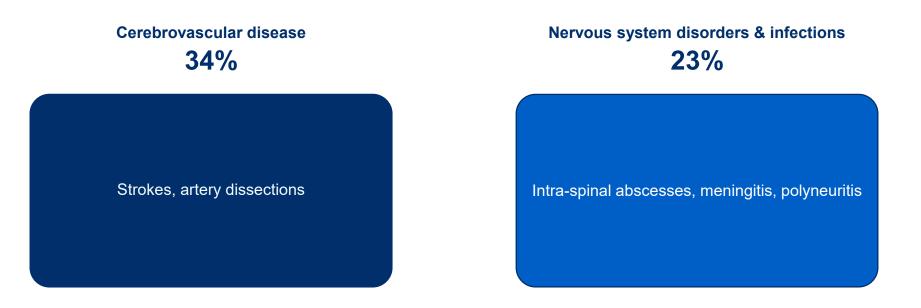


Clinical judgment factors related to diagnostic decision-making and inadequate patient care team communication are key drivers of clinical Neurology case severity.

Focus on Diagnosis-Related Allegations

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Diagnosis-related allegations encompass wrong diagnoses, failures/delays, and misdiagnoses. See below for the top diagnoses* noted in these cases.



Focus on Diagnosis-Related Allegations

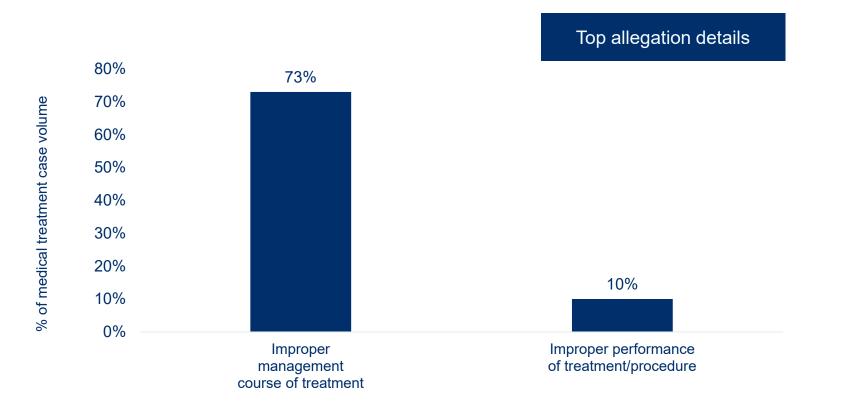
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Diagnosis-related allegations encompass wrong diagnoses, failures/delays, and misdiagnoses. Note the key opportunities to reduce diagnostic errors along the diagnostic process of care* below.

	Phase 1		Phase 2		Phase 3
Initial diagnostic	Patient notes problem & seeks care	Testing and results	Performance of diagnostic tests	Follow-up and	Physician follows-up with patient
assessment 89% of cases	History & physical Patient assessed, symptoms evaluated	processing 34% of cases	Interpretation of diagnostic test results	coordination	Referrals/Consults
			Test results transmitted to/received by ordering provider	of cases	Patient information communicated among care team
	Differential diagnosis established				Patient compliance with follow-up plan
	Diagnostic testing ordered				

Focus on Medical Treatment Allegations

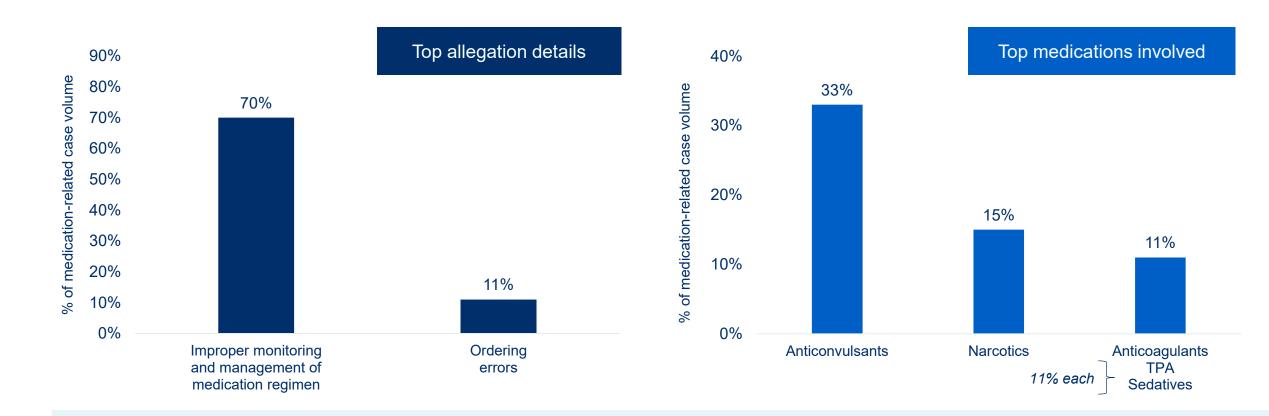
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Procedural performance cases can be the result of poor procedural technique, and impacted by delayed recognition of complications, while management cases most often reflect issues with selection of the most appropriate course of treatment for the patient, and appreciating and reconciling symptoms and test results.

Focus on Medication-Related Allegations

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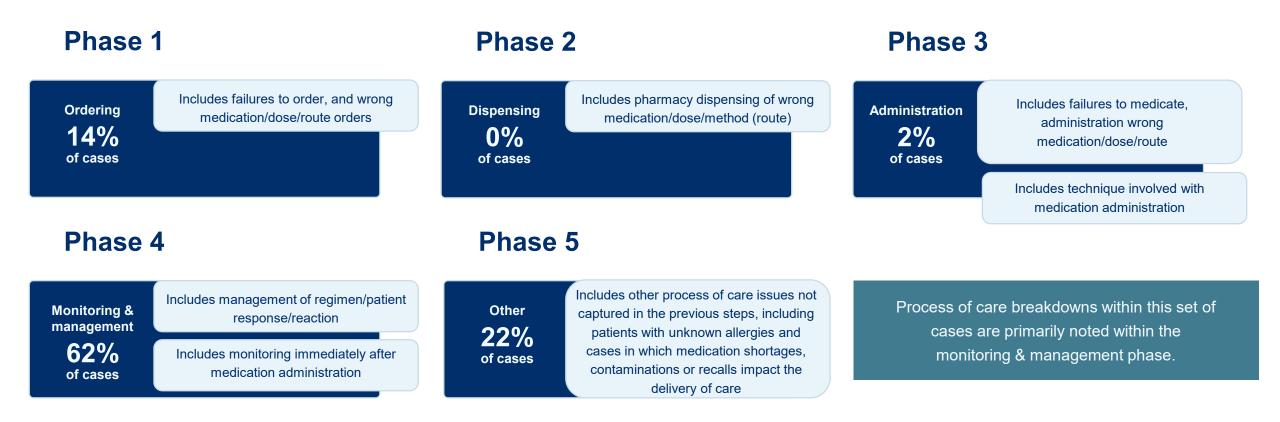


Medication-related cases most commonly involve management of anticonvulsant medication regimens. Problems with selection of the most appropriate medication regimen, monitoring/assessing the patient while on that regimen, and sub-optimal communication among providers about medication regimens and evolving signs/symptoms are the most common contributing factors to these cases.

Focus on Medication-Related Allegations

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Medication-related allegations primarily encompass management of medication regimens and ordering/dispensing/administration errors. Note the key opportunities to reduce medication errors along the process of care* below.



Risk Mitigation Strategies

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Clinical judgment

• Be aware that inadequate patient assessment might be a result of cognitive biases, inadequate medical and family history taking, or inadequate sharing of information among providers. Recognize that delays in obtaining consults/referrals are one of the top driving factors behind diagnostic claims.

Communication

• Ensure efficiencies in the sharing and discussing of test results and consultative reports among other providers. Encourage verbal sharing of subtle changes which are not individually noteworthy when multiple providers are involved.

Clinical environment

• Recognize that weekend and night shifts can impact the timeliness of assessments, response to consult requests, and return of test results. Focus on eliminating any variation in processes during 'off' hours.

Clinical systems

Focus on 'closing the loop' with regards to receiving, reporting and acting on test results, including incidental findings. Insist upon care coordination –
determine which next steps belong to which provider. Review office processes associated with test tracking, consults/referrals, appointment setting,
and managing patient nonadherence.

Administrative

- Ensure that policies/procedures are well-constructed and that staff awareness and training is a priority.
- Documentation
 - Discrepancies or gaps in the details/timing of care and clinical decision-making make it much more difficult to build a supportive framework for defense against potential malpractice cases.

Engage patients as active participants in their care.

• Consider the patient's health literacy and other comprehension barriers. Recognize that patient satisfaction with treatment outcomes can be influenced by a thorough informed consent and education process.

MedPro Group & MLMIC Data

MedPro and MLMIC are partnered with Candello, a national medical malpractice data collaborative and division of CRICO, the medical malpractice insurer for the Harvard-affiliated medical institutions.

Derived from the essence of the word candela, a unit of luminous intensity that emits a clear direction, Candello's best-in-class taxonomy, data, and tools provide unique insights into the clinical and financial risks that lead to harm and loss.

Using Candello's sophisticated coding taxonomy to code claims data, MedPro and MLMIC are better able to highlight the critical intersection between quality and patient safety and provide insights into minimizing losses and improving outcomes.

Leveraging our extensive claims data, we help our insureds stay aware of risk trends by specialty and across a variety of practice settings. Data analyses examine allegations and contributing factors, including human factors and healthcare system flaws that result in patient harm. Insight gained from claims data analyses also allows us to develop targeted programs and tools to help our insureds minimize risk.

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